



The CLM5 Parameter Perturbation Ensemble Project

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U.S. DEPARTMENT OF
ENERGY

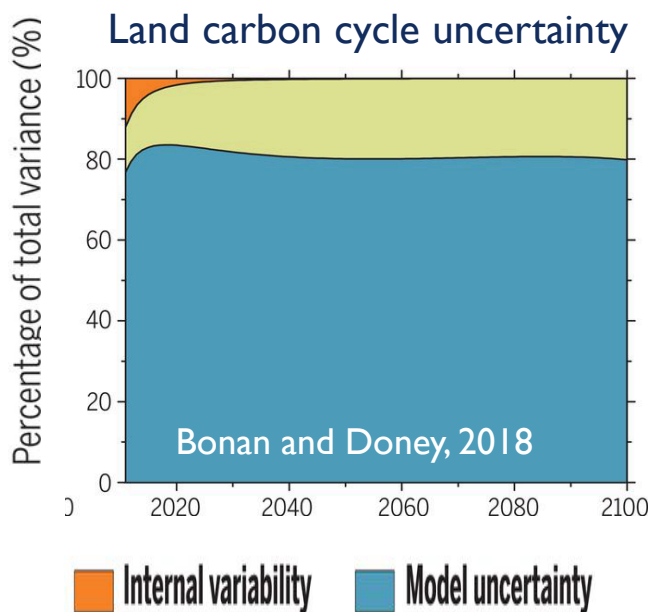
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CLM5 Parameter Perturbation Ensemble (PPE)

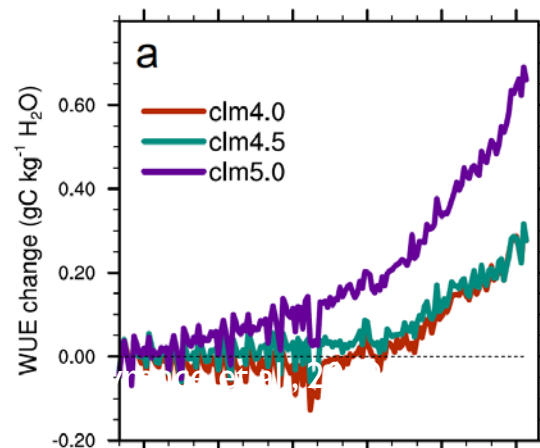
Goals:

- Complete comprehensive parameter uncertainty assessment and calibration of full CLM5BGC model
- Develop infrastructure for easy PPEs and global parameter estimation
- Explore sensitivity of a range of features of global coupled land system to reasonable uncertainty in model parameter values



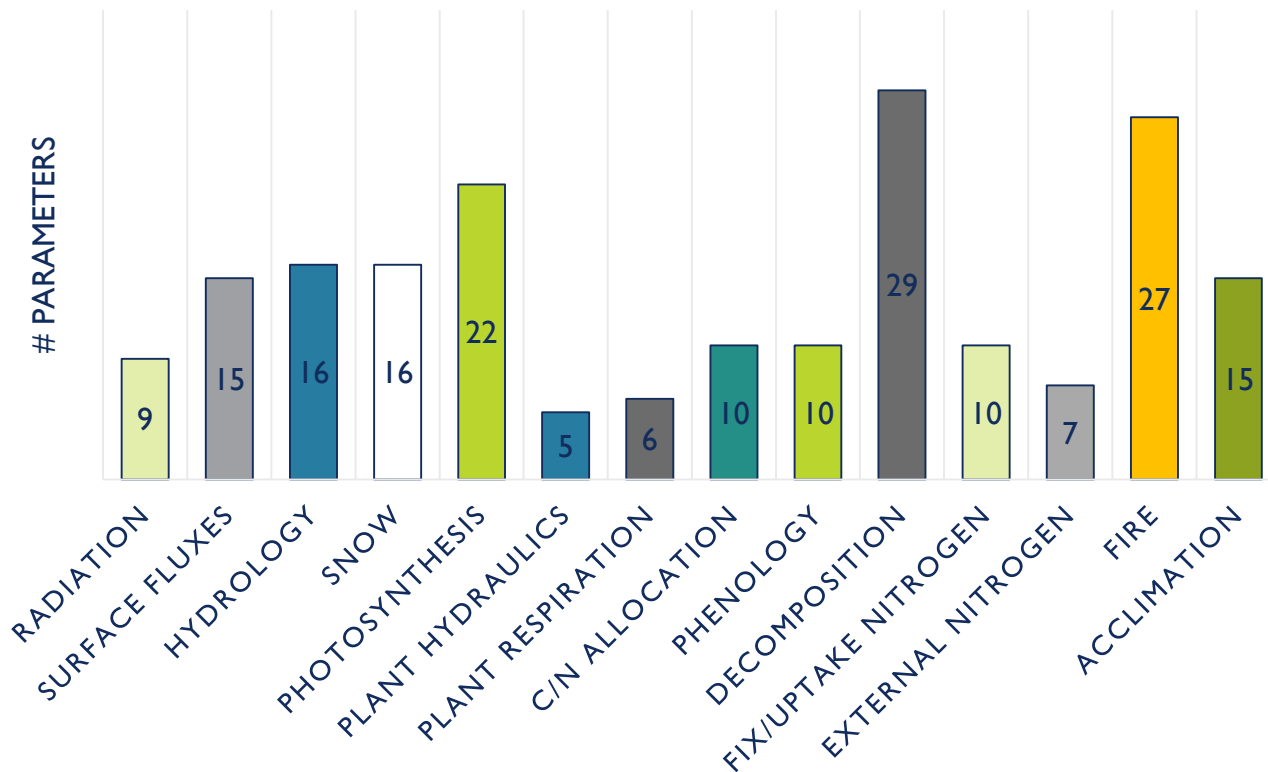
Uncertainty
due to
parameter
uncertainty?

Water use efficiency trends: Structural uncertainty



Phase 0: Infrastructure development - Parameters

- Identify 'all' CLM5 parameters (>200)
- Extract hard coded parameters to input parameter file (>100 parameters moved to parameter file)
- Catalog all parameters and reasonable ranges in 'living' document



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CLM5 Parameter List



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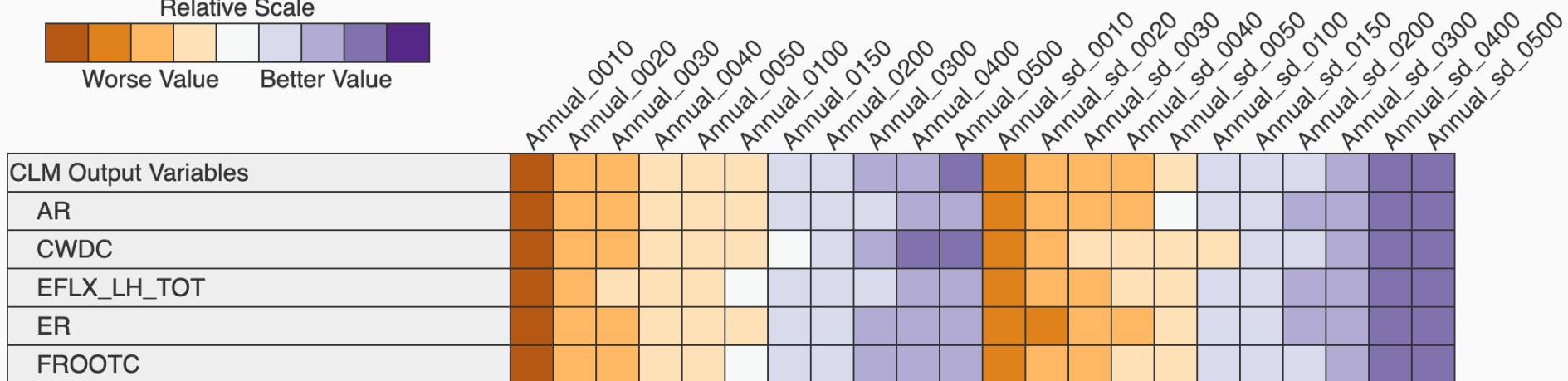
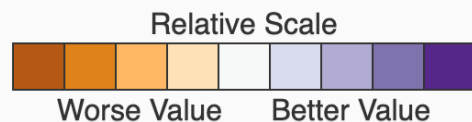
name	min	max	comments?	Description
should match the name on paramfile or namelist	low side perturbation	high side perturbation	feel free to add any comments below **ok, to write XXpercent, in lieu of absolute range**	this and the columns farther right not currently essential, but of course feel free to peruse and/or add information
Photosynthetic capacity (LUNA)				
slatop	pft	pft		specific leaf area at the canopy top
jmaxb0	0.01	0.05		the baseline proportion of nitrogen allocated for electron transport (J)
jmaxb1	0.05	0.25	This is Jmaxb1 in the code (note the capital J)	the baseline proportion of nitrogen allocated for electron transport (J)
Plant hydraulics				
kmax	pft	pft	see https://github.com/ESCOMP/CTSM/issues/1162 for how I chose kmax/krmax	Plant segment max conductance

Phase 0: Sparse grid

Cluster Analysis on transient simulation, assessed on mean and interannual s.d. for ~20 forcing and carbon, water, and energy state and flux variables

- With about 300-400 clusters, can reasonably replicate 2° global mean and transient model output
- Fast and cheap: 4 pe-hrs/yr
- Fast spinup: w/ CN Matrix (Lu et al., JAMES, 2020), full C/N spinup in ~120 years
- 1million pe-hrs = ~2000 parameter perturbation simulations, incl. spinup

Use ILAMB to assess reconstructed output against 2° simulation ‘truth’



Phase 0: Scripting infrastructure

Automated scripts to:

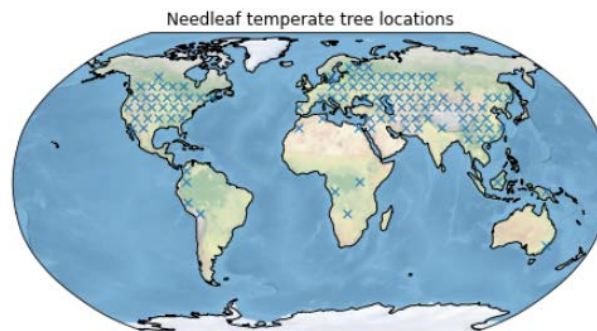
- Setup cases
- Manipulate parameter values
- Execute and check spinup
- Conduct ensembles

Scripts are generalizable enough for other CIME-based model components (e.g., components of CESM and E3SM)

Analysis scripts using Jupyter notebooks in development to reduce barriers-to-entry for exploration and analysis by multiple collaborators



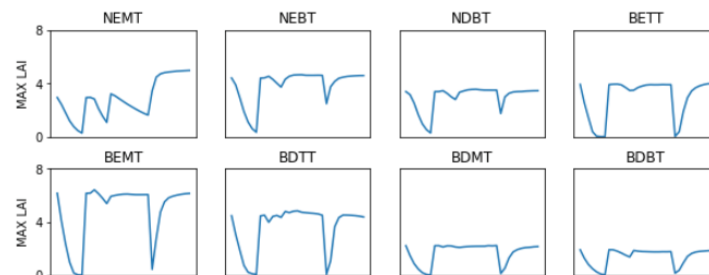
```
In [9]: fig = plt.figure(figsize=(8, 4))
ax = fig.add_subplot(1, 1, 1, projection=ccrs.Robinson())
ax.set_global()
ax.stock_img()
ax.coastlines()
ax.plot(lons, lats, 'x', transform=ccrs.PlateCarree())
plt.title('Needleaf temperate tree locations');
```



```
In [8]: plt.figure(figsize=[10,8])
for i in np.arange(15): #loop through the 15 pfts

#analysis bits
ixpft = ds['pftsld_itype_veg']==i
maxlai = ds['TLAI'].isel(pft=ixpft).max(axis=1).mean(axis=1)

#plotting
plt.subplot(4,4,i)
plt.plot(maxlai)
if (i==1)|(i==5)|(i==9)|(i==13):
    plt.ylabel('MAX LAI')
    plt.yticks([0,4,8])
else:
    plt.yticks([])
if i>11:
```

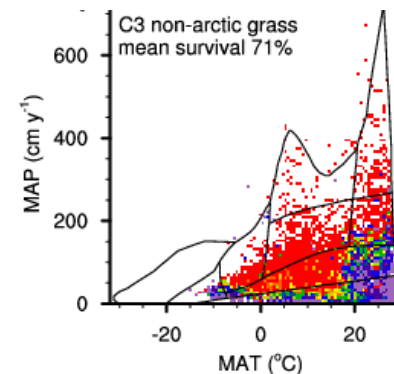


Phase I: One-at-a-time parameter sensitivity

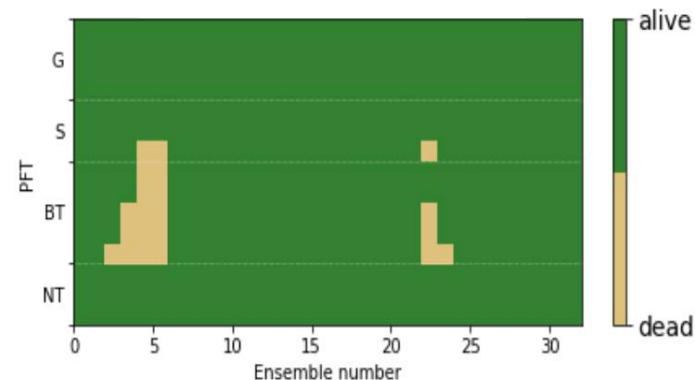
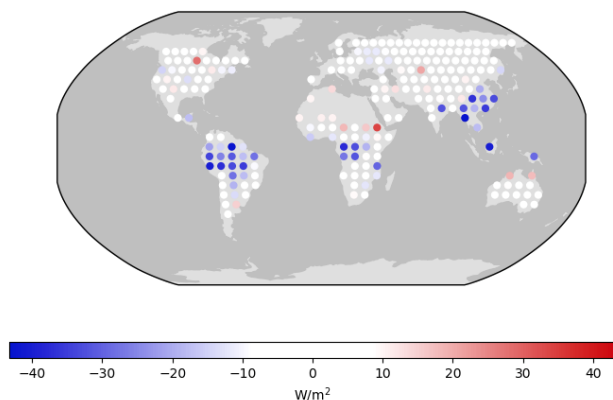
Conduct ensemble of one-at-a-time low/high param value simulations

- Each simulation checked for reasonableness
 - Plant survivability rate within 30% control, reasonable max LAI
 - GPP, LH within $\pm 30\%$ of observed (ILAMB)
- If run with particular parameter set doesn't pass checks, constrict parameter range and run again

PFT survival



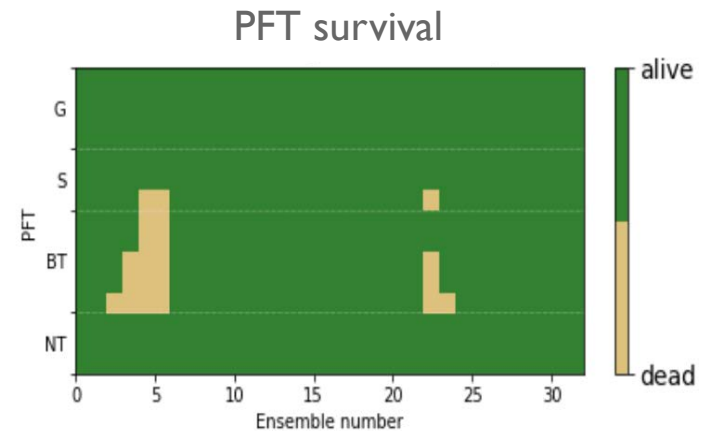
LH Bias



Phase I: One-at-a-time parameter sensitivity

Parallel ensembles with environmental perturbations

- Climate: 1850 and SSP3-7 CESM2 climate
- CO2: 1850 and SSP3-7
- N-dep: +5 gN/m²/yr
- *Last Glacial Maximum conditions*
- Restrict parameter ranges again if low-side environmental perturbation doesn't pass reasonableness checks



Phase 2: Latin-hypercube ensemble

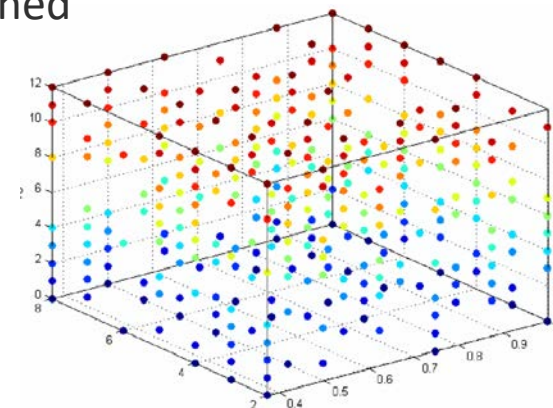
1. Select ~50 'most important' parameters

- Following Dagon et al., (in review), 'objectively' select parameters that have most significant impact on range of key carbon, water, energy flux and state variables for
 - Mean state and variability
 - Non-overlapping spatial patterns
 - Response to environmental perturbations



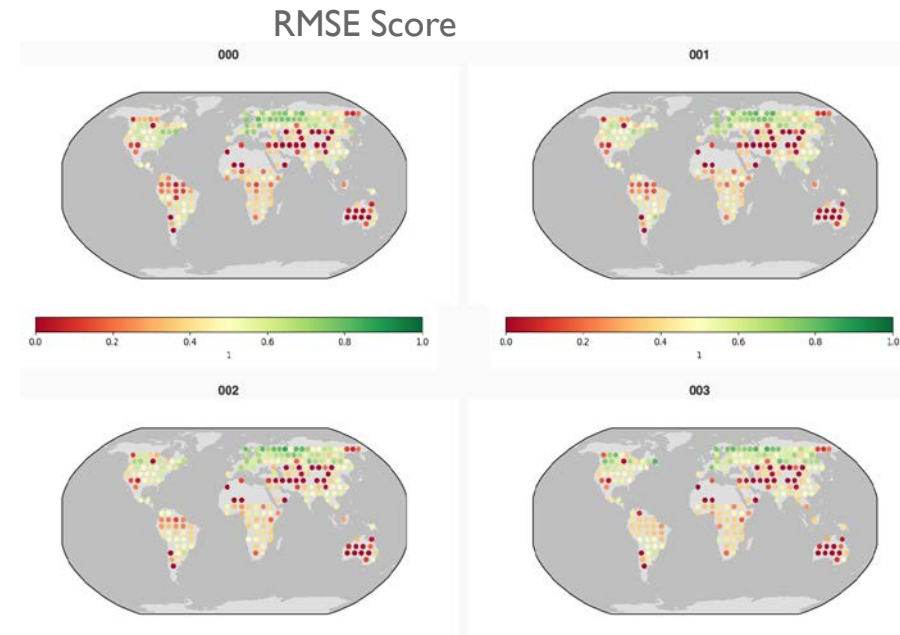
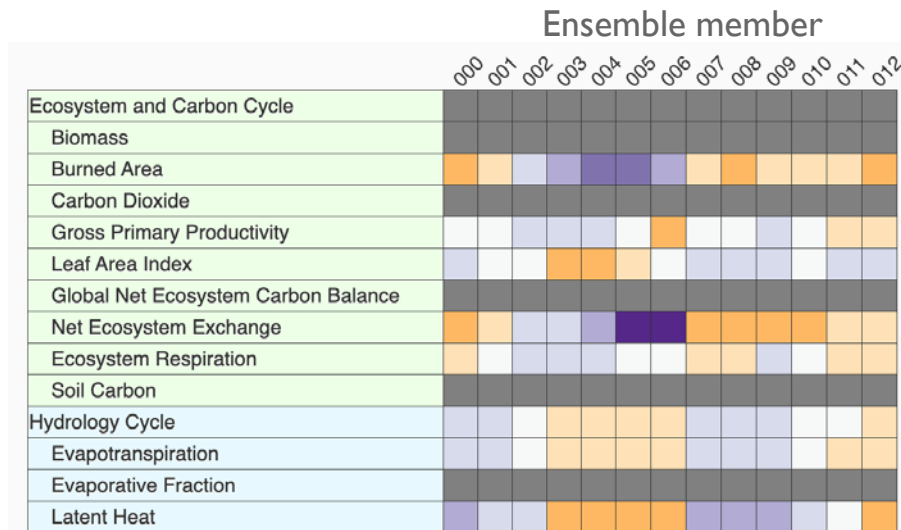
2. Run sparse grid simulations with ~2500 Latin hypercube-defined parameter sets

- Present-day climate (1.5 million pe-hrs)
- Environmental perturbations



Phase 3: Global transient 2° simulations

- With Phase 2 Latin Hypercube ensemble output, use neural network to develop emulator of CLM5 output based on parameter settings (Dagon et al., 2020)
- Select ~200 'best' parameter sets (selection criteria TBD, ILAMB?)



- Run full spinup and transient historical/projection period 2° simulations

