



# Novel Approaches with AI/ML in Flood Modeling

*Coastal and Urban Flooding: Integrated Data-Driven Modeling with AI/ML to Address the Grand Challenge*



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# Flooding – A Grand Challenge Requires Integrated Interdisciplinary Research

**Warming Climate**  
**Sea Level Rise**

→ Heavy Rainfall (Atmosphere)

Storm Surge  
(Ocean)

River-  
Streamflow  
(Hydrology)



**Flooding**



↑ Built Environment (Engineering)



**Challenges:** High-resolution full physics models are computational expensive, data volume, etc.

**Ways forward:** New ways of downscaling: Global Earth system models --> AI/ML --> Regional coupled atmosphere-wave-ocean-land models --> AI/ML --> Flooding

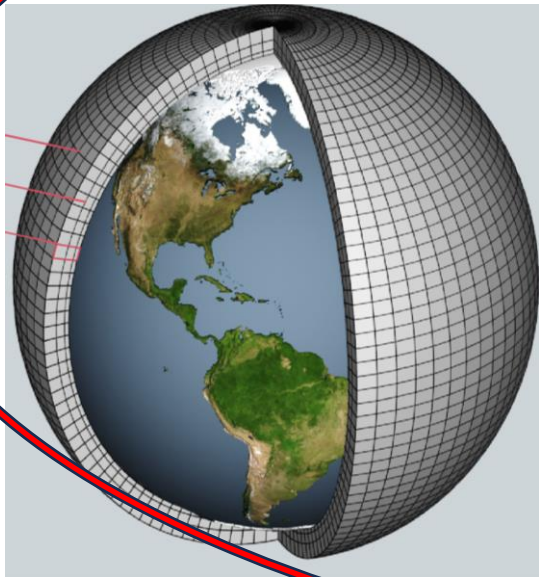
**Phase II – large-scale drivers of extremes (Mosaic4E)**

**Phase I**

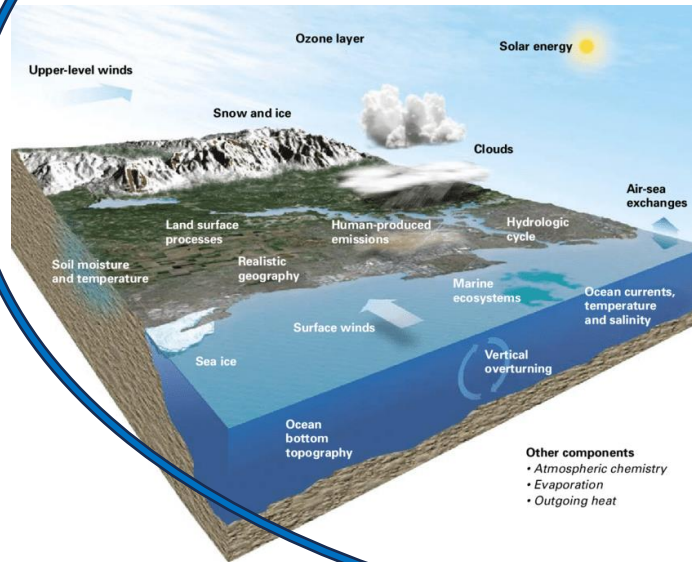
Global large-scale circulation features that are most relevant: MJO, ITCZ, Jetstream, ARs, etc.

Regional, coastal high-res coupled atmos-wave-ocean model (UWIN-CM) and obs

Coastal urban flooding, ultra-high-res hydro- and storm surge models



AI/ML  
↔



AI/ML  
↔



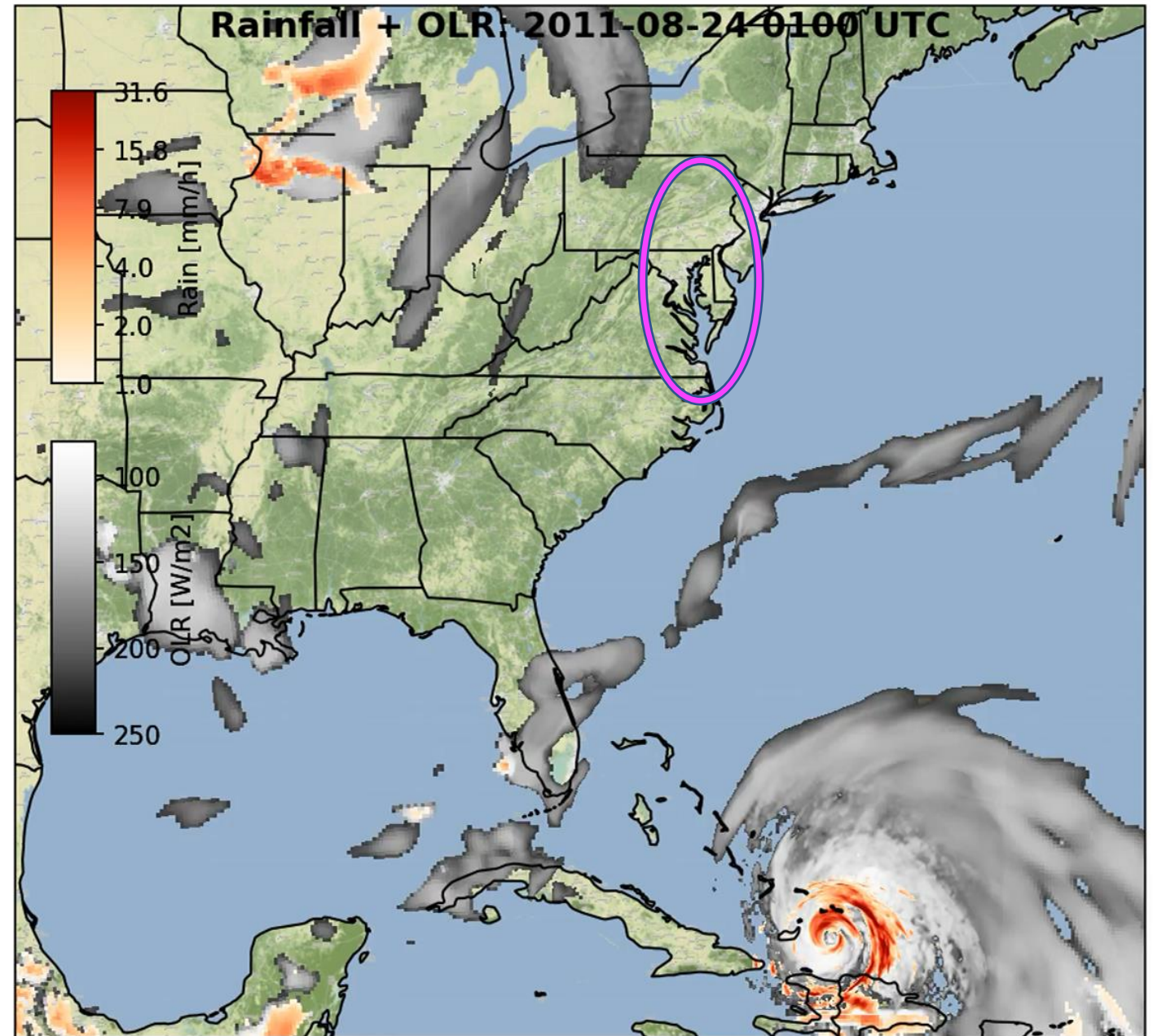
## Major Flooding: Aug 24-Sep 12, 2011

Three TCs:

- Hurricane **Irene** (2011, 08/24-29)
- Hurricane **Katia** (2011, 8/29-9/10)
- Tropical Storm **Lee** (2011, 09/2-12)

### UWIN-CM –coupled atmos-wave-ocean model (Chen et al. 2013, Chen & Curcic 2016)

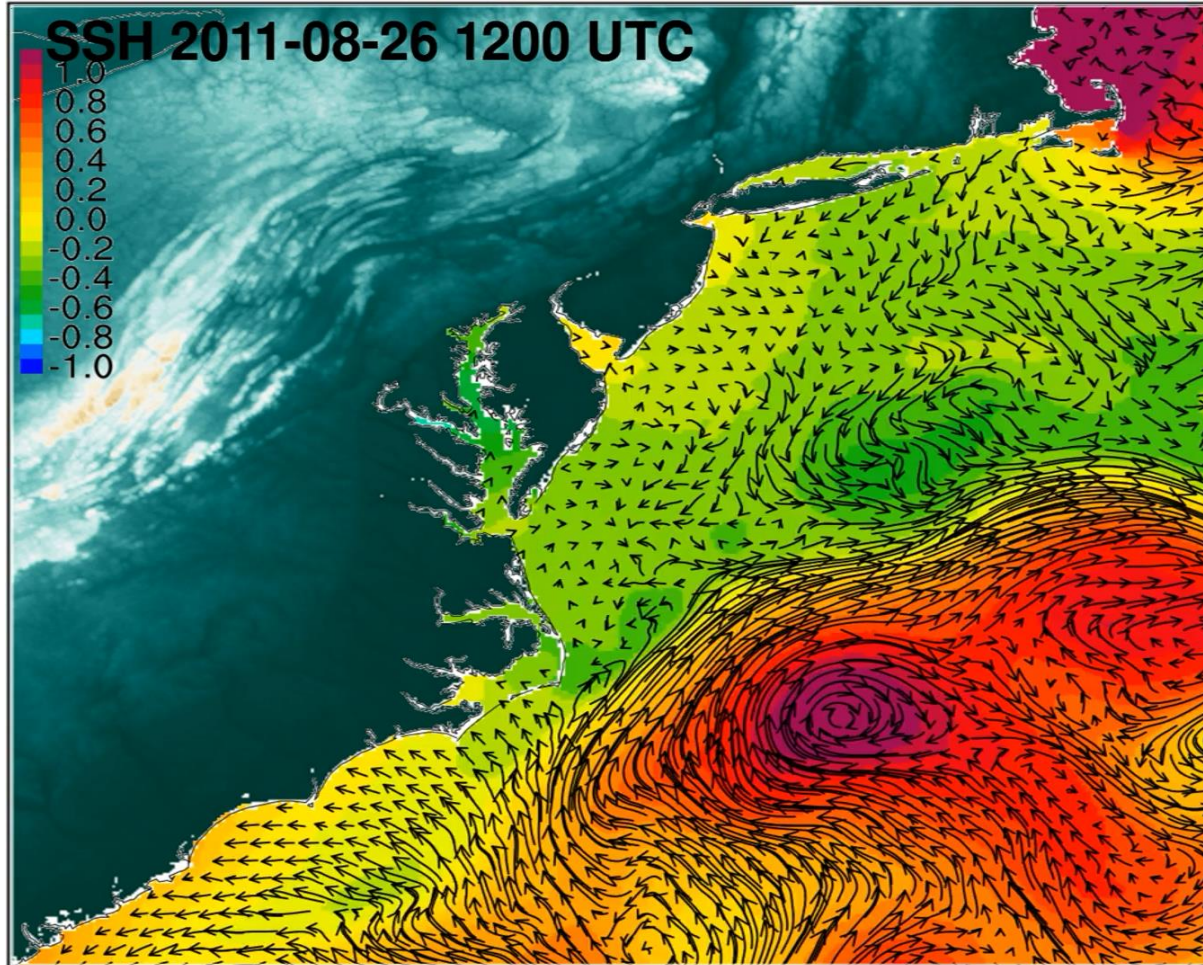
- Weather Research and Forecasting (WRF):  
1.3/4/12 km horizontal resolution 45 vertical levels,
- University of Miami Wave Model (UMWM):  
4 km horizontal resolution, 36 directional bins and 37 frequency bins from 0.0313 – 2.0 Hz
- HYbrid Coordinate Ocean Model (HYCOM):  
1/25 deg (~4 km) horizontal resolution, 41 vertical levels;



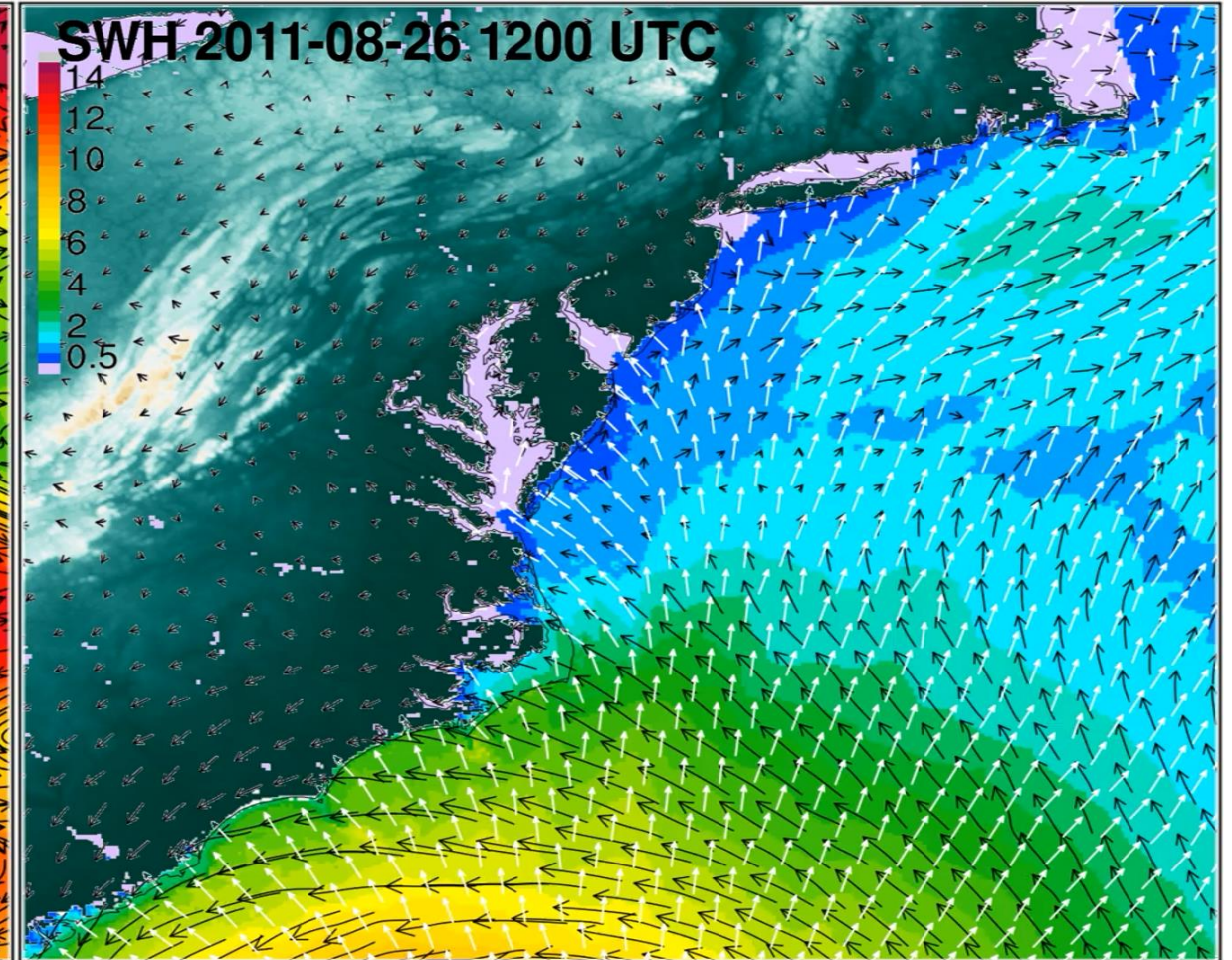


# UWIN-CM Simulations of Hurricane Irene

## Sea Surface Height Anomaly



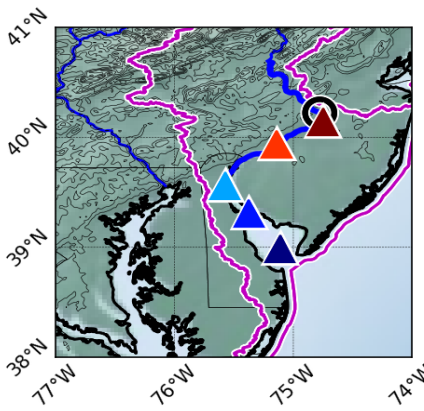
## Significant Wave Height and Wind





**Kerns and Chen (2023a  
Natural Hazards)**

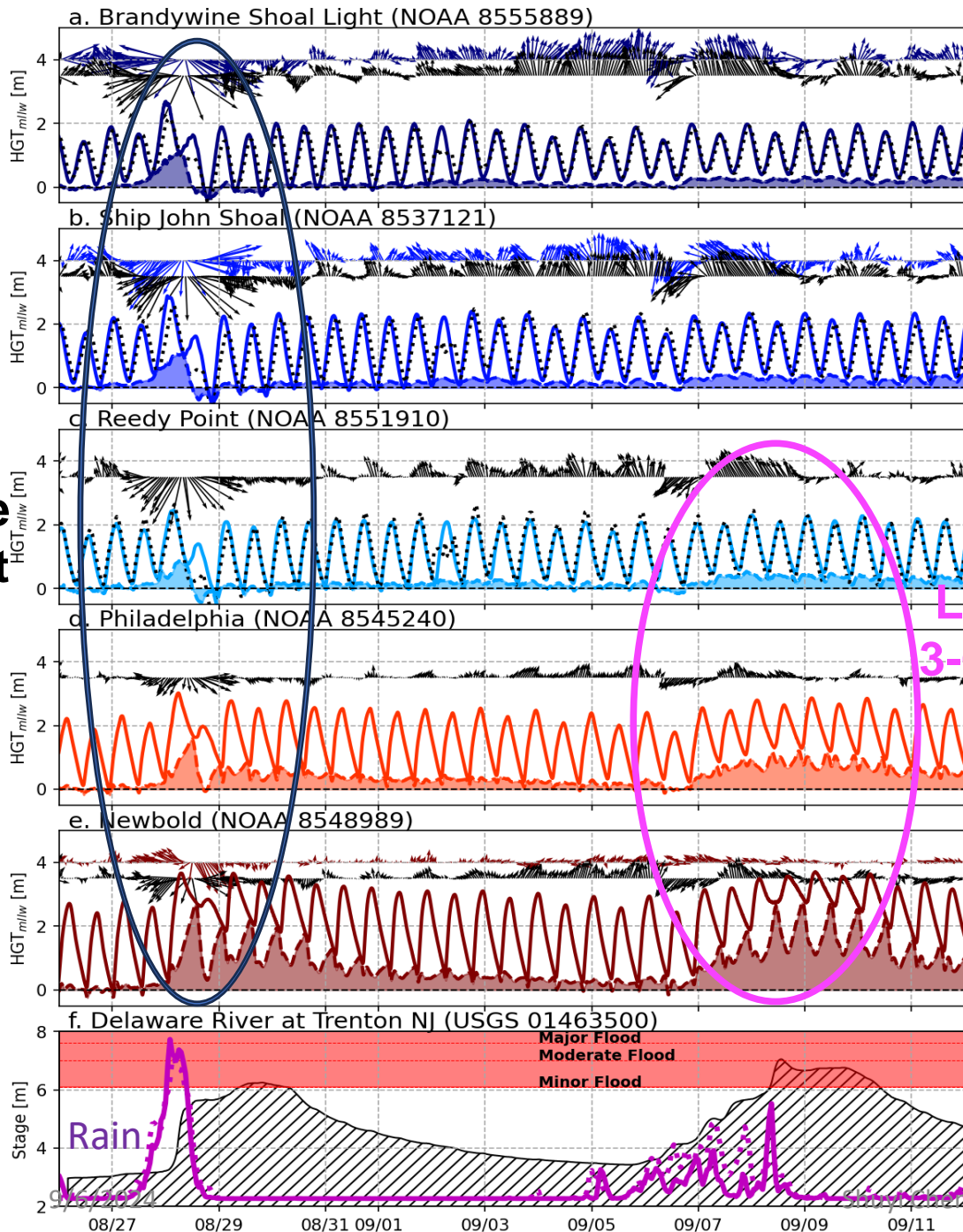
- UWIN-CM simulated and observed wind, rain, water level (tides and flood stage data)



HUC 0204: Delaware River Basin  
Major Rivers

Solid, colored = Obs.  
Dotted, black = UWIN-CM  
Color shading = Obs. HGT above/below astronomical.

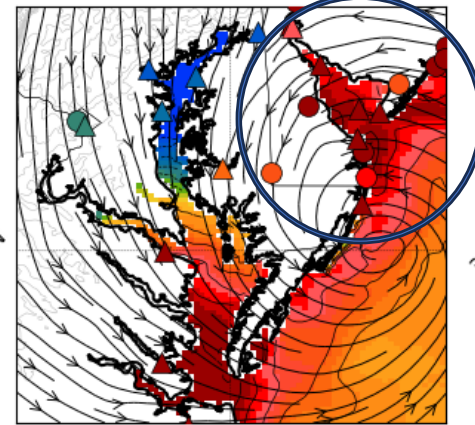
**Irene  
3-6 ft**



**Lee  
3-6 ft**

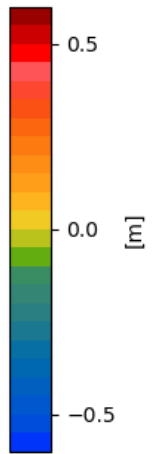
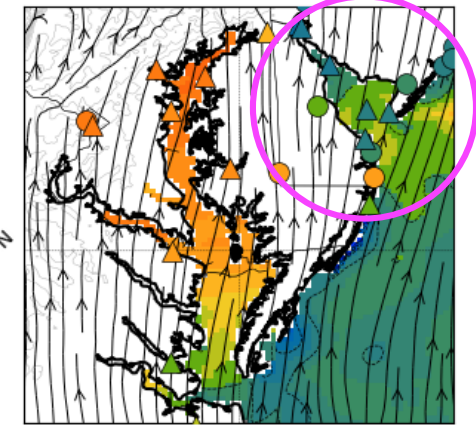
10 m/s

**Irene** Sfc. Winds and Max.  $\Delta$ SSH Above Pre-Storm  
a. 08/28 0000 Z to 08/28 1200 Z



**Lee**

b. 09/05 0000 Z to 09/05 1200 Z

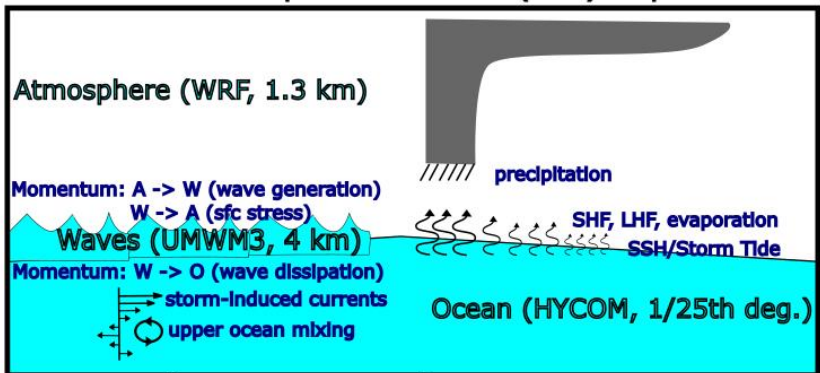


**Water Level**

(shuyic@uw.edu)

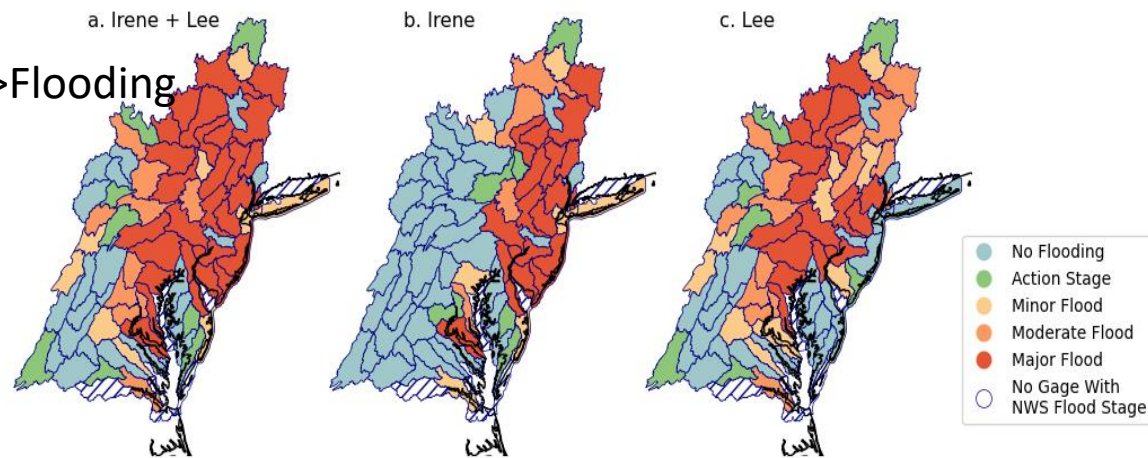


UWIN-CM: An Atmosphere-Wave-Ocean (AWO) Coupled Model

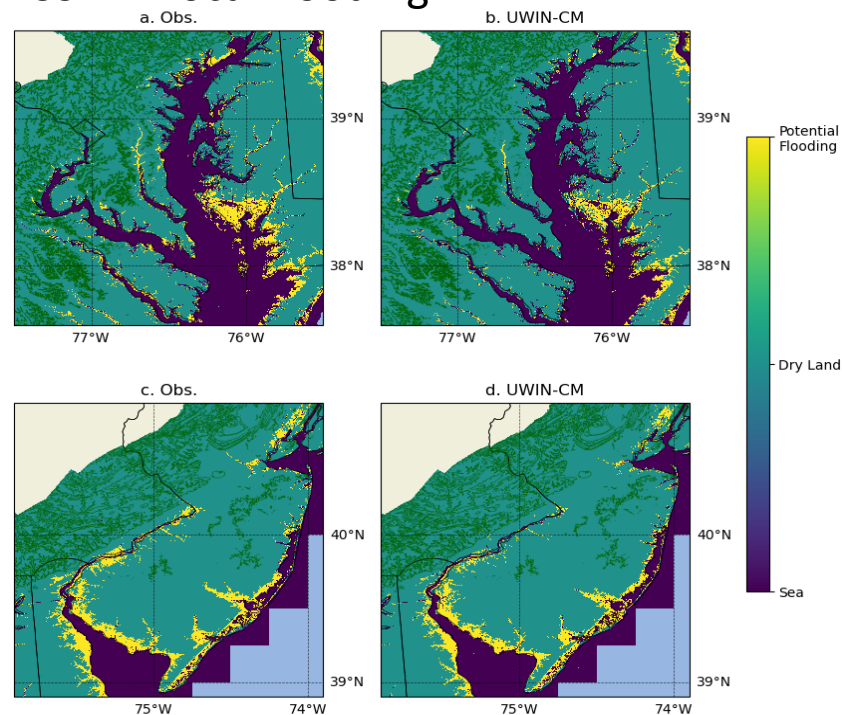


Kerns and Chen (2023a, *Natural Hazards*; 2023b, *WAF*)

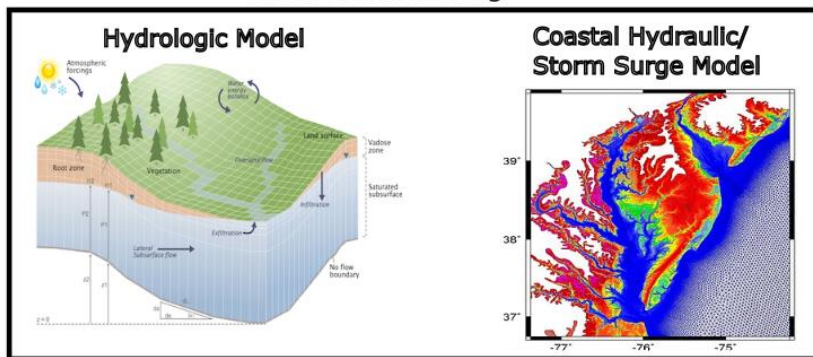
Rain → ERM → Flooding



Rain + SSH → local flooding



Direct Flood Modeling



Compound Coastal Flood Impact



Indirect Flood Modeling



# Input Layer

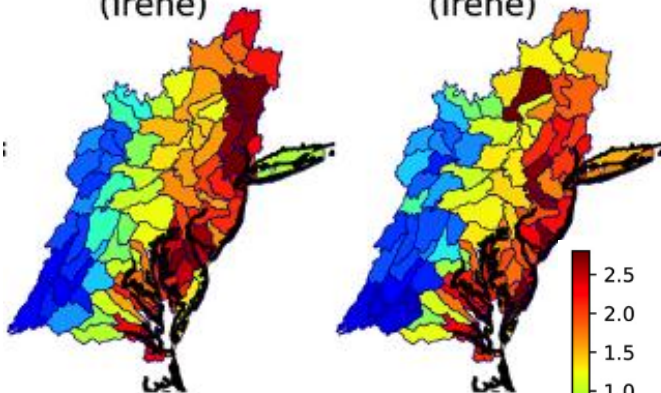
## Extreme Rain Multiplier (ERM)

Precip Input from:

- Observations (Stage IV)
- Model (UWIN-CM)

g. ERM - S4 (Irene)

h. ERM - UWIN-CM (Irene)



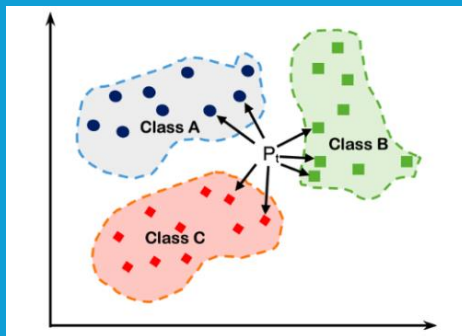
+

- (Day -1) Flood Stage Data
- (Day-1) ERM

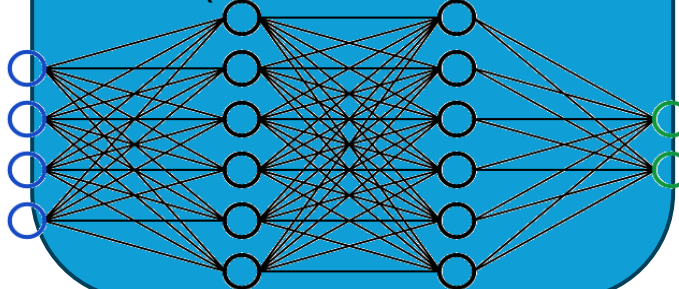
9/6/2024

# Machine Learning Models

## K Nearest Neighbors (Kerns and Chen (2023, WAF))



## Neural Network (future work)



Preprocess

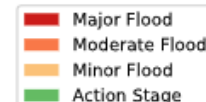
Train and Predict

# Output Layer

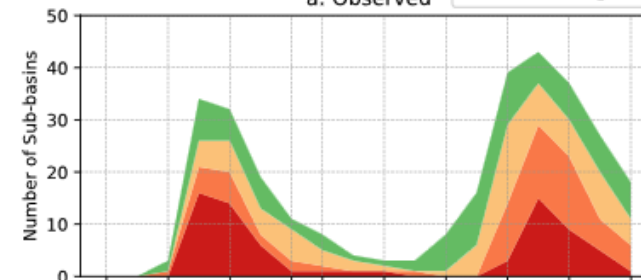
## Prediction:

- Today's flooding
  - NWS Flood Stage
  - Global Database

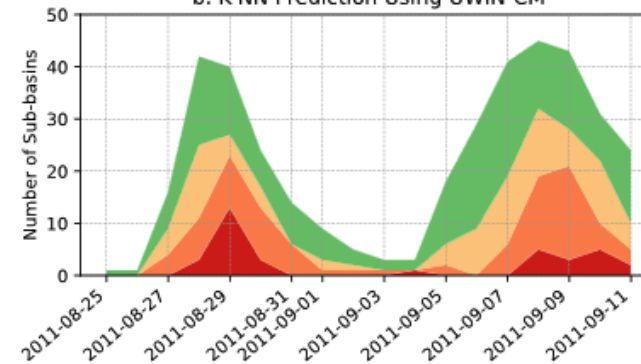
b. Irene



a. Observed



b. K-NN Prediction Using UWIN-CM



Shuyi Chen (shuyic@uw.edu)



## ICoM Phase I Research and Transition to Phase II

### **Phase I. High-resolution coupled atmosphere-wave-ocean modeling and observational data analysis for coastal-inland flooding from TCs**

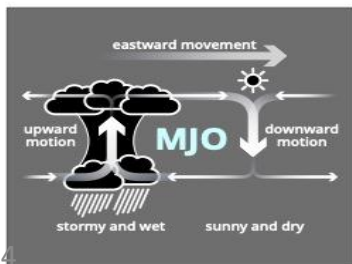
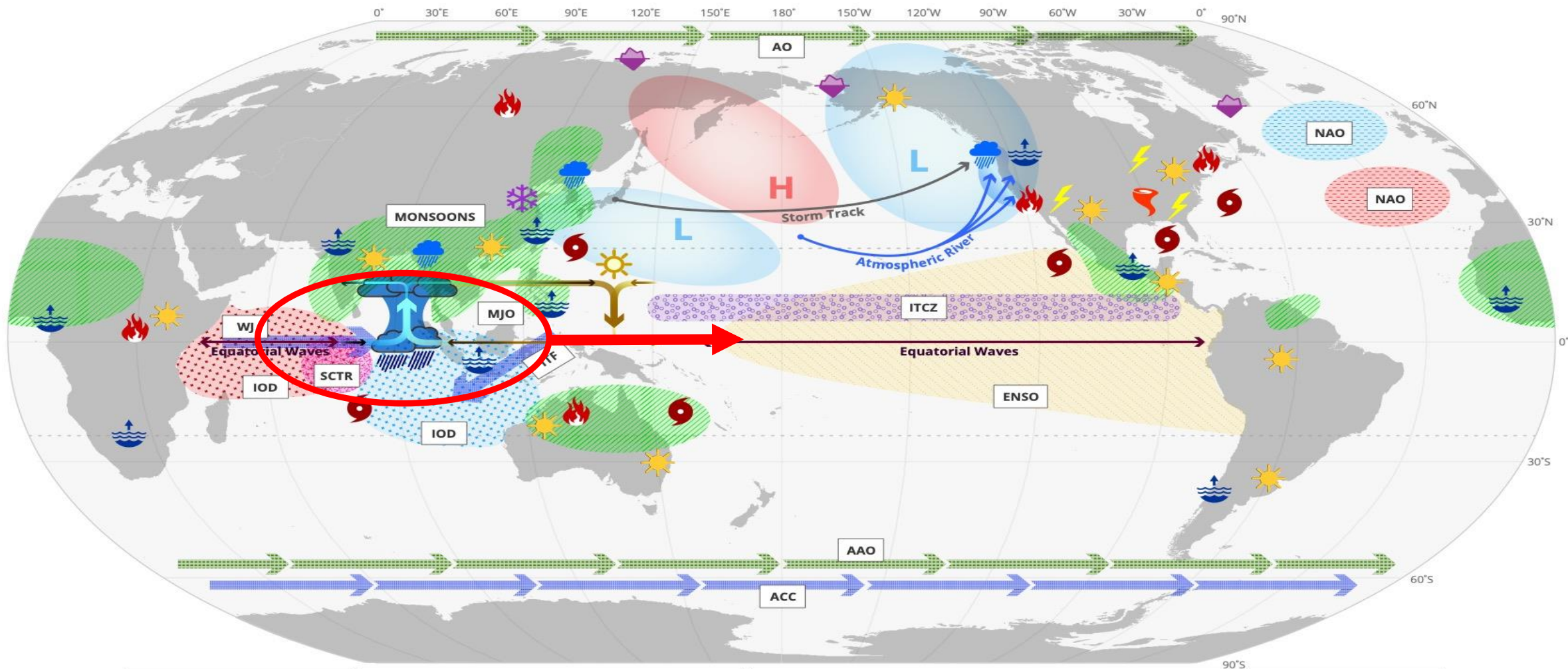
- Kerns and Chen (2022, *Natural Hazards*)
- Kerns and Chen (2023, *WAF*)
- Mazza and Chen (2023a, *JGR*)
- Mazza and Chen (2023b, *J. Hydrometeor.*)

### **Phase II. Multiscale Objects-Tracking and AI Climate Modeling for Extremes (Mosaic4E)**

- **Extreme events often occur at the interface of multiscale phenomena over a wide range of spatial and time scales (minutes to decades, meters to global)**
- **How best define extreme rainfall and flooding risk (and other extremes)**
- **Using AI/ML to better understand and predict extremes – bridging/filling gaps**



# MADDEN-JULIAN OSCILLATION (MJO): GLOBAL IMPACTS



→ Atmospheric River	☀ Heat Waves	🌿 Atmospheric Circulation (AO, AAO)	🌿 Monsoons
❄ Cold Surges	⚡ Lightning	🟡 El Niño-Southern Oscillation (ENSO)	🌊 North Atlantic Oscillation (NAO)
→ Equatorial Waves	🧊 Sea Ice	🌊 Indian Ocean Dipole (IOD)	🌊 Oceanic Circulation (ITF, WJ, ACC)
☁ Extreme Rainfall	→ Storm Track	🌊 InterTropical Convergence Zone (ITCZ)	🌊 Seychelle-Chagos Thermocline Ridge (SCTR)
🔥 Fires	🌪 Tornadoes		
🌊 Flood	🌀 Tropical Cyclones		

*Not represented on map: Aerosol, Carbon Dioxide, Earth's Annular Momentum, Electromagnetic Field (Schumann Resonance), Length of the day, Ocean Chlorophyll, Ozone*

9/6/2024

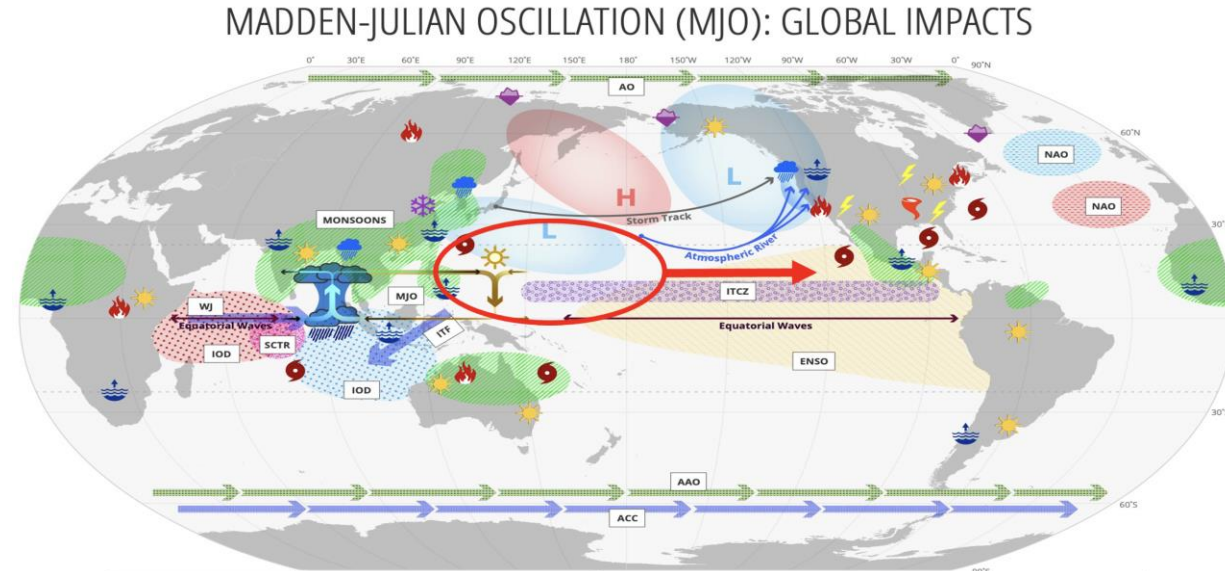
Shuyi Chen (shuyic@uw.edu)

Yoneyama and Zhang (2020)



## Takeaway Points:

- High-resolution fully coupled atmosphere-wave-ocean-land model with ML can be used effectively for flood risk forecast
- Multiscale drivers are important for extreme events, not only local/regional players but also teleconnections of global systems from subseasonal (e.g., MJO), seasonal (e.g., ITCZ, monsoon), to longer time scales (e.g., ENSO, NAO, PDO)
- Mosaic4E will be further developed with AI/ML to provide a new capability for better understanding and predict extreme events (e.g., floods, heatwaves, drought, etc.)





# Extra slide

**ERM (Extreme Rainfall Multiplier)** - A linear multiplier of a location dependent typical heavy rainfall threshold (i.e. the median of the maximum daily rainfall each year.) A "typical" heavy rain event is considered to be the environment that is relatively well adapted to (**Bosma et al. 2020, BAMS**)

$$\text{ERM}_{s,x,t} = \frac{R_{s,x,t}}{R_{x,t}^{T\text{-yr}}}$$

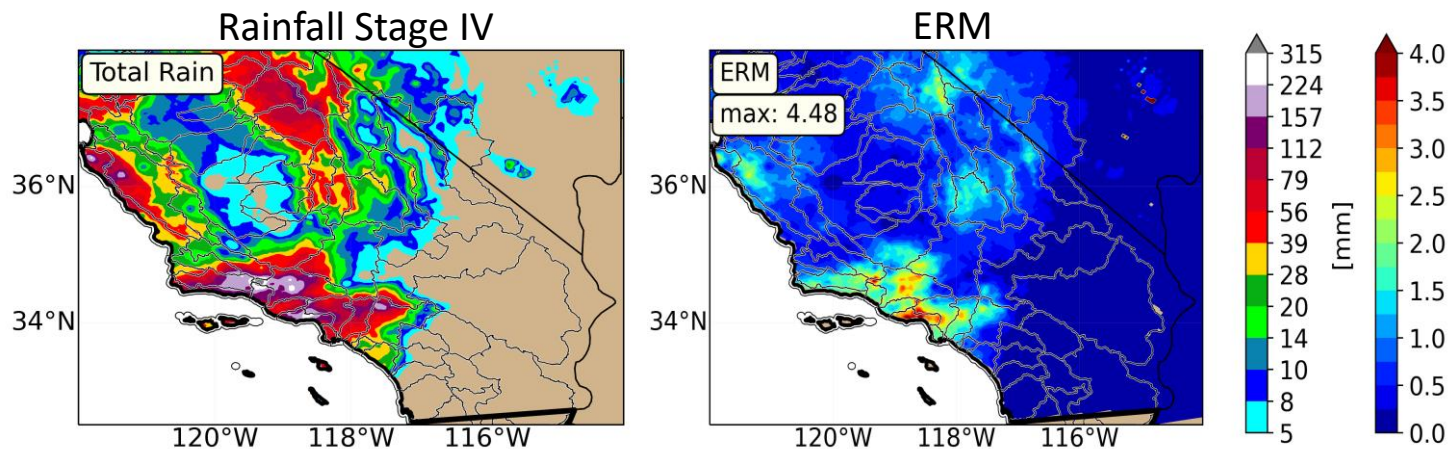
(Median Annual Daily Max)

**ERM and flood potential (Bosma et al. 2020, Kerns and Chen 2022, Mazza and Chen 2023):**

- **ERM > 1.5: Flooding**
- **ERM > 3.0: Significant flooding**
- **ERM > 5.0: Extreme flooding, e.g. Hurricane Harvey (2017), Florence (2018)**



# 1,000 Year Flood LA County, CA (Feb 5, 2024)

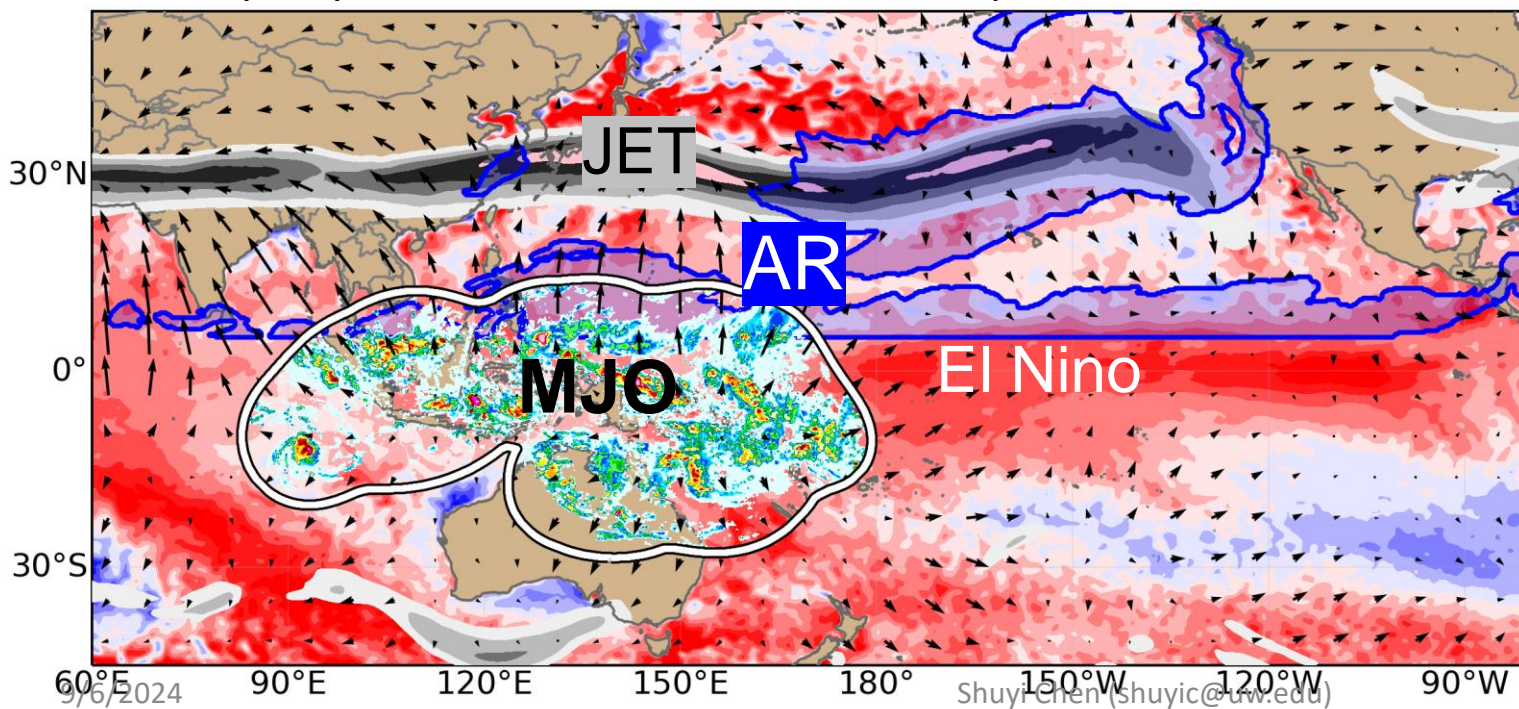


## Flooding



Kyle Grillot / The Washington Post

## Key Players: El Nino, MJO, Jet, and Atmospheric River 1/20/24



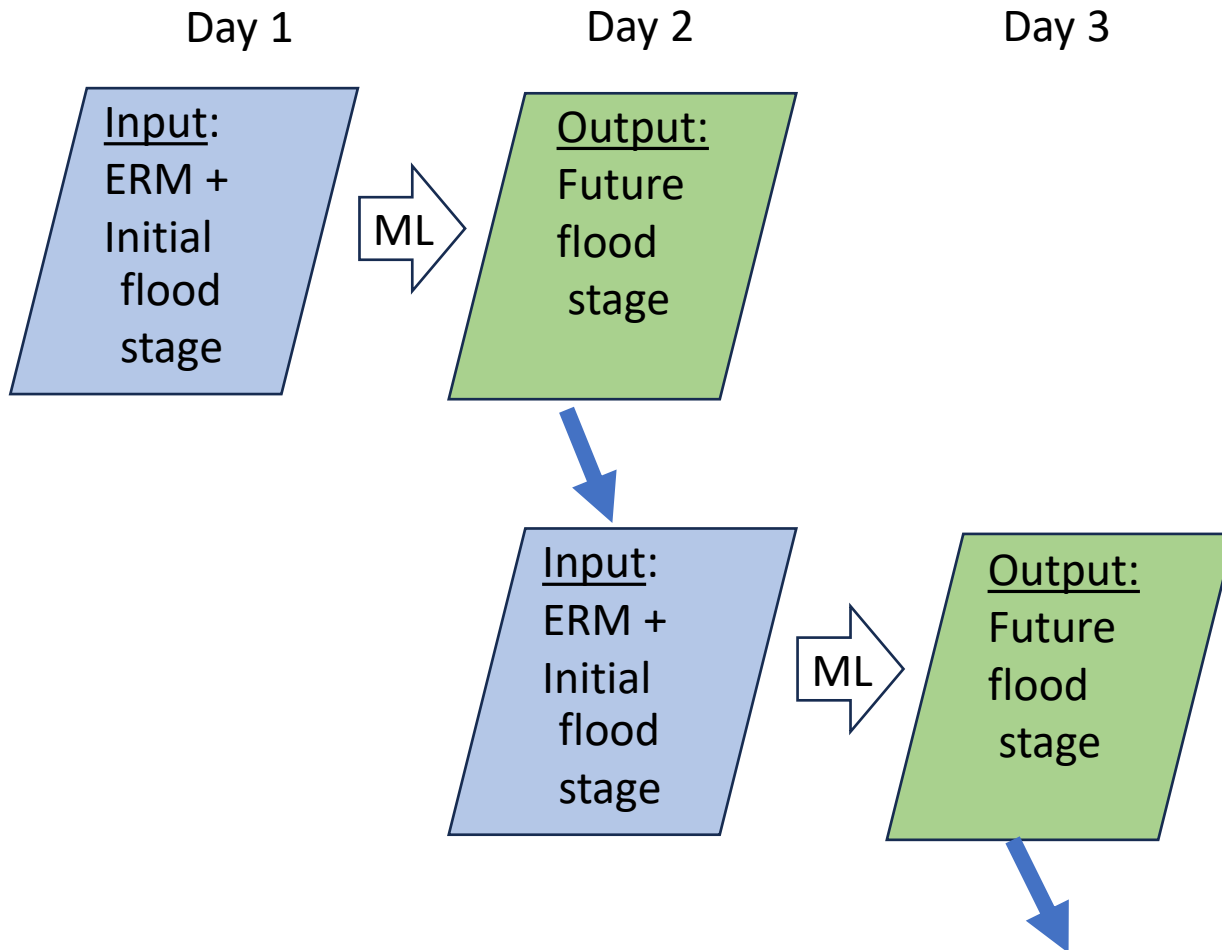
Marcio Jose Sanchez / Associated Press

## Mazza, Chen, Kerns (2024)

# Deployment Schemes for ERM – Flooding ML Models

## Sequentially day by day:

- Train ML model for 1 day lead time
- The output of one day is the input for the next day



## Train ML model specific to the lead time

- A separate ML model trained for each lead time
- Can ingest a time series of ERM
- Potentially can predict a time series of flooding

